

# UNIT-6

## 8. I/P & O/P file system

### 1) I/O devices :-

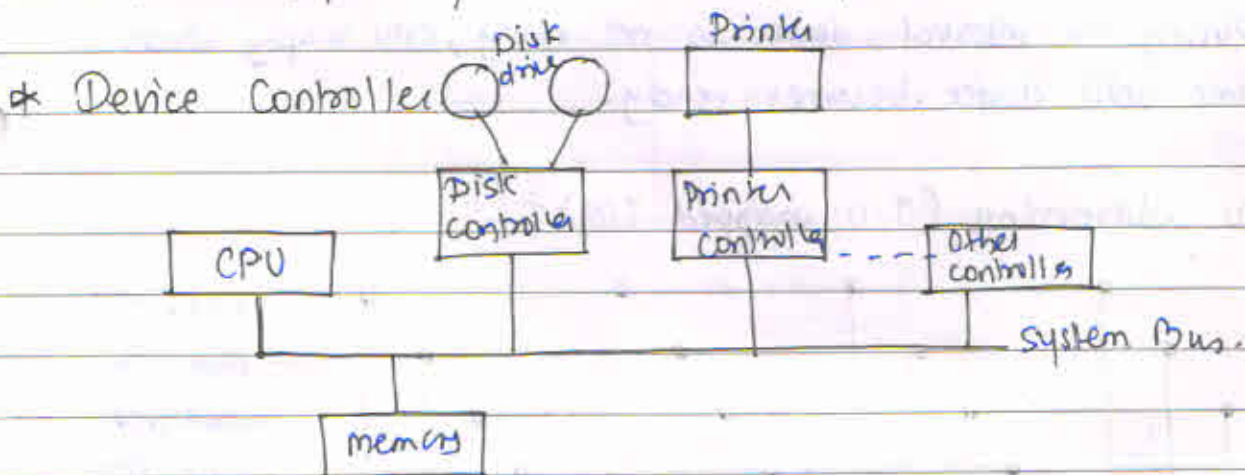
- Block devices - store fixed size block, each block has unique ID.
- Character devices

#### \* Block device

- it stores info<sup>n</sup> in fixed-sized block.
- each block has unique ID.
- Basic unit of R/W in block device is a block.
- block can be accessed independantly.
- Disk is block addressed device.
- Any block can be accessed in disk. respectivel of current position of R/W head.
- Similarly, a tape or a CD is block device.

#### \* Character Device :-

- it accepts or delivers stream of characters.
- There no concept of blocking..
- Terminal, printer, n/w interfaces, keyboard etc are character device.



Single Bus Connecting CPU, memory, controller & I/O device

\* Tech for performing I/O (Org of I/O function)

Tech of DMA mode:

- programmed I/O
- Interrupt driven I/O
- Direct Memory Access

1) programmed I/O:

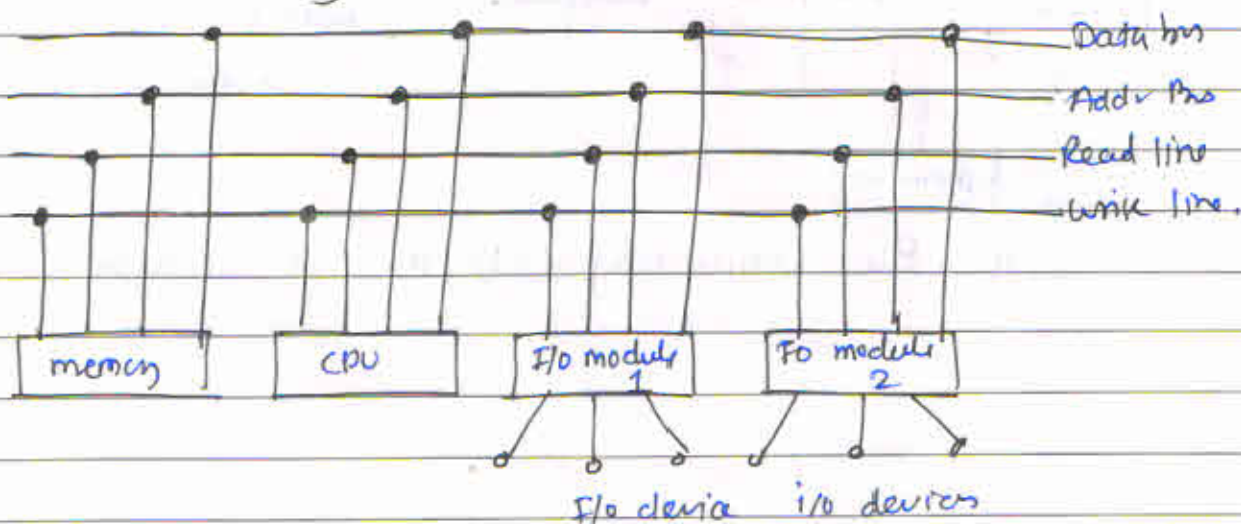
- it is useful method for comp where h/w cost is minimized.
- entire i/o is handled by CPU with help of small SW system. (sys SW/device driver) w/o any additional h/w.
- It is based on concept of busy waiting, before I/O operation performed, CPU checks the status of I/O.
- If I/O is not ready, CPU waits in loop for I/O device to become ready.

CPU performs foll. step.

- 1) Read I/O device status bit
- 2) test the status bit to determine if the device is ready to begin data transfer operation?
- 3) If device is not ready, return to step-1, otherwise proceed with data transfer.

During the interval, device is not ready, CPU simply waste its time until device becomes ready.

2) I/O addressing (I/O mapped I/O):



Structure of memory mapped I/O.

\* Memory mapped I/O.

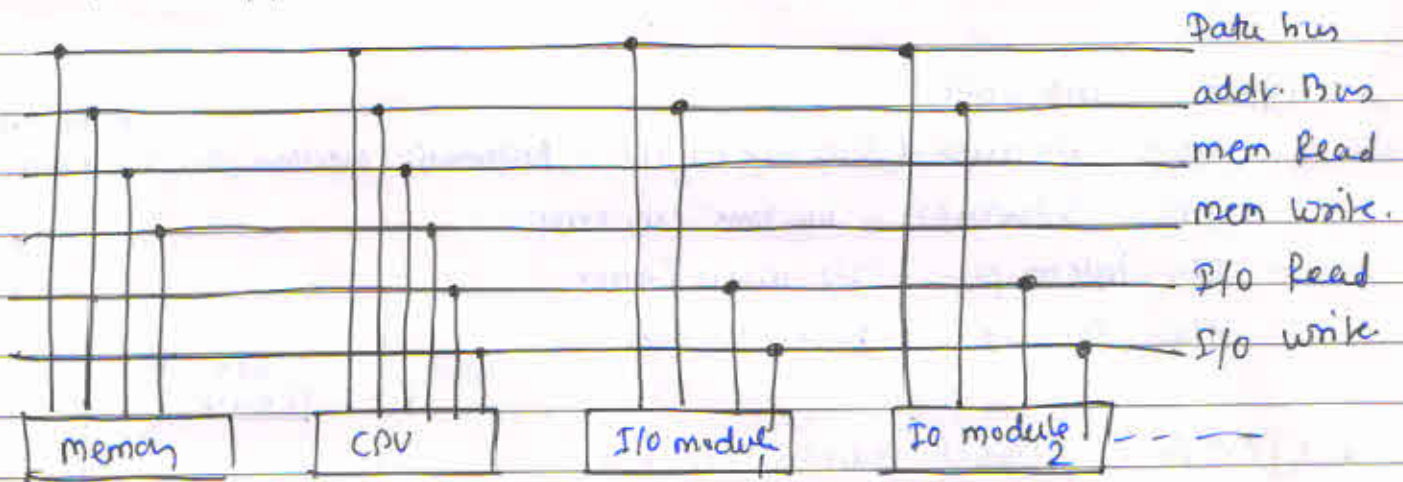
- In this, there is single addr. space for memory location and I/O devices.
- processor treats status of data reg. for separate memory location. it is part of I/O device.
- processor uses same mem. ~~loc~~ instr<sup>s</sup> to access both mem. & I/O devices.
- with mem mapped I/O, single R/W line are needed on the bus.
- Read line is activated during transfer of data from memory to CPU.

e.g. `mov AX, x` [ $AX \leftarrow x$ ]

- write line is activated during transfer of data from CPU to memory.

e.g. `mov x, AX` [ $x \leftarrow AX$ ].

\* I/O mapped I/O



Structure of I/O Mapped I/O.

- There are separate control line for mem & I/O devices.
- A mem refer. instr<sup>s</sup> does not affect on I/O devices.
- There are separate address space for mem and I/O devices. An I/O device & mem loc<sup>s</sup> can have same address.
- There are separate instr<sup>s</sup> for memory Read & write.
- mem. Read instr<sup>s</sup> - `mov AX, x`
- mem write instr<sup>s</sup> - `mov x, AX`
- I/O read - `IN AL, 300H`
- I/O write - `OUT 300H, AL`

3) ~~not~~ driven I/O.

∴ major drawback of prog<sup>d</sup> I/O is busy waiting.

- speed of I/O devices is much slower in comparison to that of CPU. since, in programmed I/O, CPU has repeatedly check whether a device is free, the performance of CPU goes down.

One solution could be :-

- CPU switches to some other prog w/o waiting for I/O device to complete or to become free.

- when device becomes free, it informs back the CPU thro. mechanism, known as interrupt.

e.g. interrupt occurs, push content of current prog.

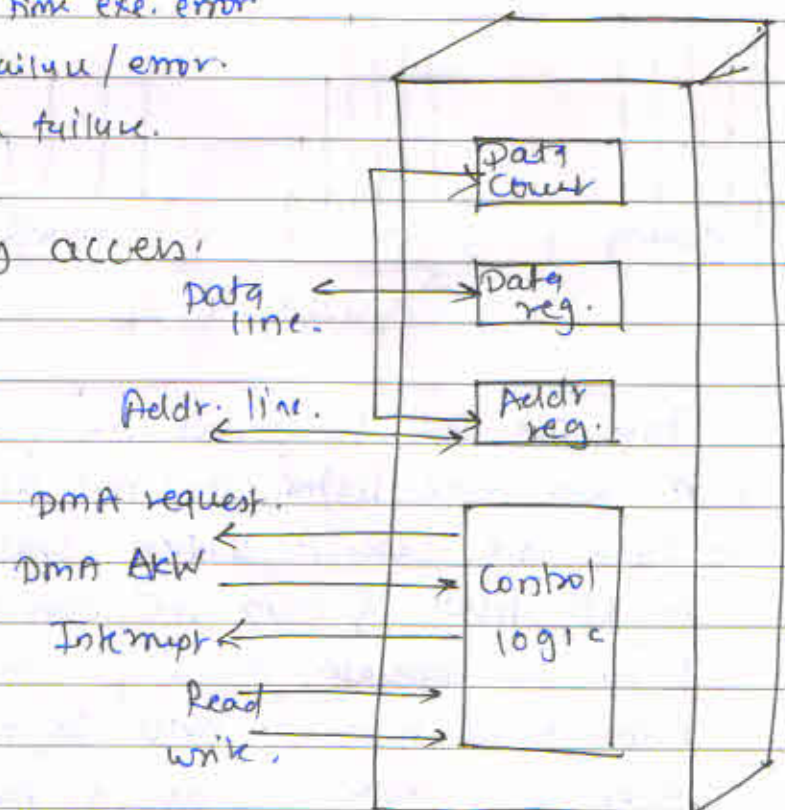
\* Interrupt Processing :-  
already studied

\* Compare Subroutines of ISR.

+ Types of Interrupt :-

- prog. interrupt (S/W interrupt) - Arithmetic overflow, Divide by zero, <sup>mem. violation</sup>
- Timer interrupt - on time exe. error
- I/O interrupt - I/O failure/error.
- H/W failure - power failure.

\* DMA :- (Direct memory access)



Typical DMA Block diagram.

\* File operation :-

→ Types of file :- regular, Directory, char special, Block special

### - Redundant Array of Independent Disks

- Magnetic disk has several drawback.

1) They have relatively low transfer rate.

2) Their electromagnetic design makes them prone to fault.

- Data transfer rate can be increased by using an array of small disk unit. it operates 11ely.

- In addition, add<sup>n</sup> of redundant disk unit store parity information can guarantee data recovery in case of disk failure.

- The idea behind RAID is to distribute the stored data over a set of disks configured to appear like a single large disk.

- The data can be distributed in various ways referred as RAID level 0:6.

The Basic characteristics of RAID disks are:

1) A set of physical disk appears as a single logical disk to OS.

2) Data are distributed across the physical disks.

3) In case of failure of disk, the parity info that is kept on redundant disk is used to recover the data.

The Features of these RAID level are:

1) RAID level 0 (Non-redundant)

2) RAID level 1 (Mirrored)

3) RAID level 2 (Redundancy thro. hamming Code)

4) RAID level 3 (Bit-Interleaved Parity)

5) RAID level 4 (Block-level Parity)

6) RAID level 5 (Block-level Distributed Parity)

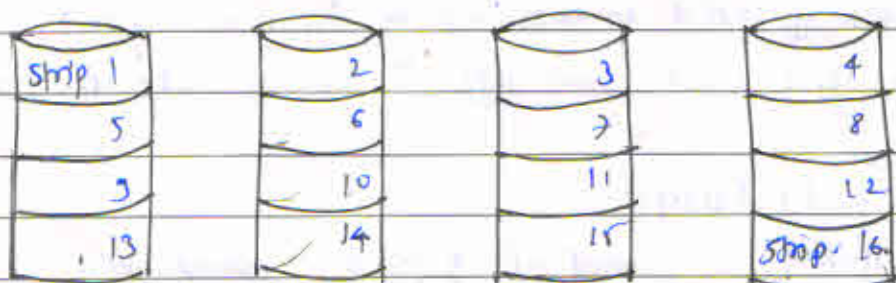
7) RAID level 6 (Dual Redundancy).

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1) RAID level 0 : It distribute data over an array of disks in the form of strips. These strips are stored in interleaved fashion.

- For high data transfer capacity, strip size should be small.

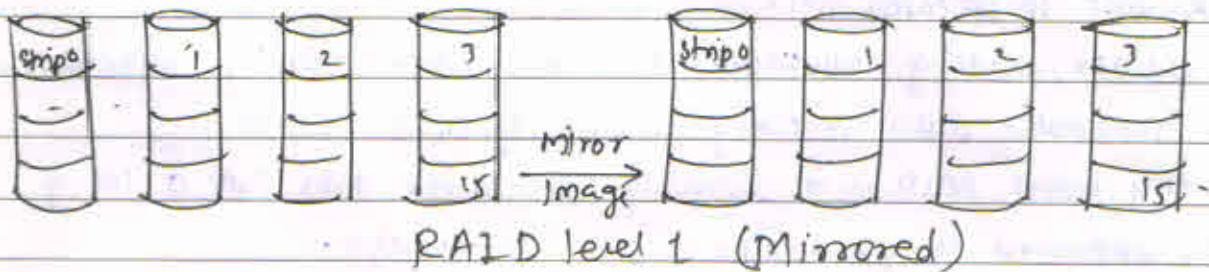
- it does not provide data recovery in case of disk failure.



RAID 0 (Non-Redundant)

## 2) RAID 1: (Mirrored)

- mirroring which keep a duplicate copy of data.
- Any read request can be serviced by any of 2 disks.
- This may reduce seek time.
- Recovery from failure is done using mirrored disk.
- It provides real time backup.



## 3) RAID 2: (Redundancy thro. hamming Code)

- it uses 11<sup>el</sup> access tech.
- Hamming error correction code is used that correct single bit error.
- it is used when data error are high.

## 4) RAID 3: (Bit Interleaved Parity)

- It requires only single redundant disk, which is used as parity disk.
- parity disk can be used for reconstruction of data in case of disk failure.
- As data strips are small, it may achieve very high data transfer rate.

## 5) RAID 4: (Block-level Parity)

- it uses independent access tech, where each of these physical disk may be accessed independently.
- Data strip is of larger size & bit by bit parity strip is created for bit of strip of each disk.
- parity bit is stored in separate disk.
- Every write oper<sup>n</sup> need updation of parity bit.
- it is bottleneck as all I/O requests use it.

## 6) RAID 5 - Block level Distributed Parity.

- In this, bottleneck of level 4 is avoided by distributing parity stripes.
- It is useful for appli<sup>n</sup> where very high I/O request rate are needed.

## 7) RAID 6 - (Dual Redundancy)

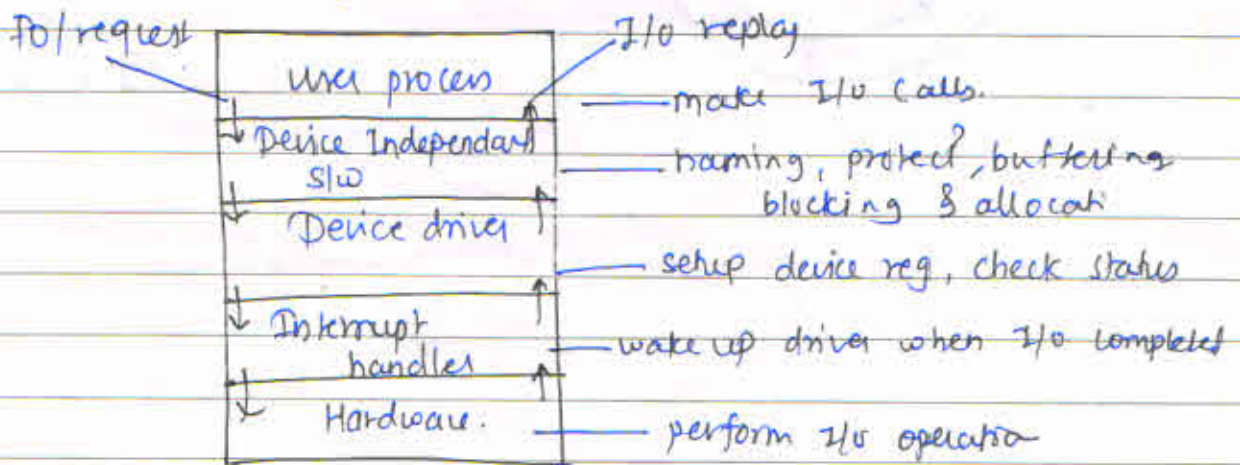
- 2 separate parity calculations are carried out & stored in separate block on diff disks.
- it provides extremely high data reliability.

- DMA increases speed of I/O transfer by eliminating the role played by CPU in such oper<sup>s</sup>
- When large amount of data is to be transferred from CPU, a DMA module can be used.
- DMA operates in foll<sup>y</sup> ways:
  - 1) when I/O requested, the CPU instruct the DMA module about the oper<sup>s</sup> by providing the info<sup>r</sup>:
    - 1) which oper<sup>s</sup> is to be performed (R/W)
    - 2) starting loc<sup>s</sup> in memory where the info<sup>r</sup> will be read or written.
    - 3) How word to be written or read.
- The DMA module transfer the requested block byte by byte directly to memory w/o the intervention of CPU.
- On comple<sup>t</sup> of request, DMA module send interrupt signal to the CPU.
- In DMA mode, the CPU intervention is limited at the beginning and end of the transfer.
- While DMA is busy in data transfer, CPU can execute another program.

### \* DMA Data transfer mode:

- DMA Block transfer - HDD, magnetic disk
- Cycle stealing mode - Ho<sup>r</sup>, DMA mode.
- Transparent DMA - only unused sys. bus is used by Dm<sup>a</sup>. don't disturb executing instr<sup>s</sup>

### \* I/O software layer:

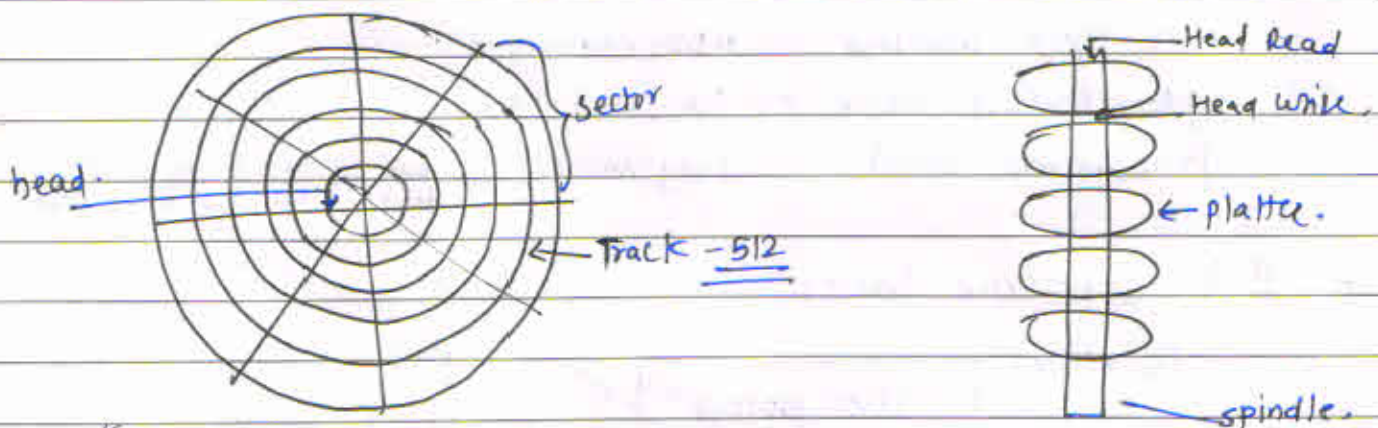


### \* I/O Software Layers -

- lower layer interact with h/w & upper layer interact with users.
- I/O error is handled by I/O software.
- Interrupt are handled by I/O software.
- In I/O, read cmd the prog is automatically suspended until the data are available in the buffer.
- scheduling of I/O devices is handled by I/O software.
- many devices like disk, can be used by many users at same time.
- many devices are dedicated. e.g. printer.
- the goal of I/O sw can be achieved by structuring the I/O sw in 4 layers.
- Interrupt Handler
- Device Driver
- Device Independent OS SW
- User level SW.

### \* Magnetic Disk :

- fixed head / movable head disks.
- sides
- platters
- Head Mechanism:
- seek time
- Latency





## \* File Operation :-

- Creating a file.
- Opening a file
- Renaming
- Reading data from file
- Writing data to file.
- Random access of record
- Getting attribute of file
- Setting attribute of file.
- Appending data to file.
- Closing a file.

Before performing any operation, file must be created on secondary storage.

- After creation of file, it should be either opened in read or write mode.
- We can read particular record or complete file.
- New record can be added to existing file.
- The record may be deleted if it is no longer used.

## \* File Types :-

- Regular files
- Directories
- Character special file
- Block special file.

### 1) Regular file :-

- Regular files are either ASCII or binary files.  
ASCII files are also known as text files, they contain visible characters.
- We can view content of file on monitor or perform manipulation in file.
- e.g. of text file
  - 1) C-program file.
  - 2) A file containing letters or text blocks.
- Text blocks consist of -  
alphanumeric or digits
- e.g. docx file, pdf file, etc

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## 2] Directories:

- Directories are system files for maintaining the structure of the file system.

e.g

C:\program files\java\jdk1.8 . . .

## 3] Character special files:

- These are sys. files. They are related to I/O and used to model serial I/O devices such as terminals, printers & nlws.

## 4] Block special files:-

- Block files are used to model disk.

## \* File structure :

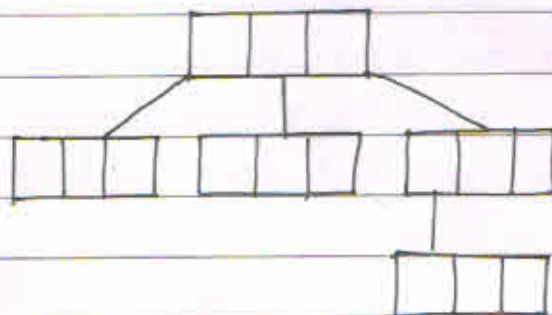
- There are 3 common file structures.



(a) Byte sequence



(b) Record sequence.



(c) Tree.

Three kind of file structure.

∴ In byte sequence, this unstructure sequence of bytes.

OS does not impose any structure on the files. All it see seq. of file.

- Consider, sequence of file as 8-bit. OS does not interpret the files.

- In this there is max. flexibility for user.

- Drawback is that there is no support from OS side.

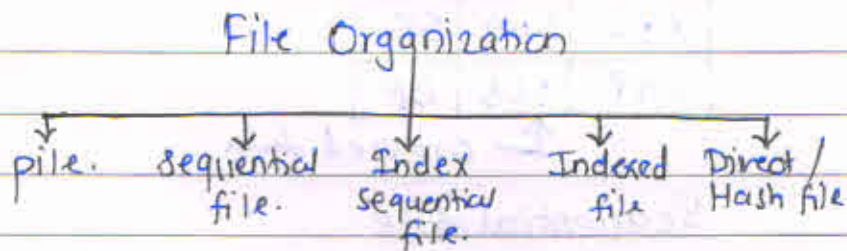
- In Record seq., it is fixed sized record. data can be read or written but record can't be inserted or deleted in the middle of file.

- In tree, it is tree of disk block. each block hold no key record.

- Record can be searched by key value. & new record can be inserted anywhere in the file structure. Tree is sorted on key field.

## \* File Access Method - File Organization

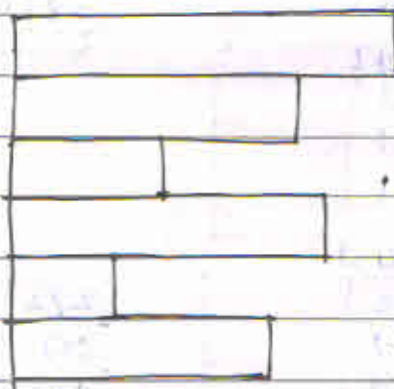
- The file is collection of records where each record consist of one / more fields.
- file org<sup>n</sup> can be defined as methods of storing data records in a file.
- The primary objective of file org<sup>n</sup> is to provide means for record retrieval and update.
- Some file org<sup>n</sup> method :-



## FILE ORGANIZATION.

### 1) The Pile :-

- It is simplest form of file org<sup>n</sup>. data are stored in order as they arrive.
- A pile is used to accumulate the mass of data & save it.
- In pile, record may have different fields or similar field in diff. order.
- There is no structure of pile file.
- To search a record, it is necessary to search each record until the desired record is found.
- pile files uses less space when stored data vary in size & structure.



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variable length record,  
variable set of fields,  
Chronological order of  
storing.

## PILE FILE.

- fixed format is used for record.
- All record are of same length
- posi<sup>n</sup> of each field in record and length of field is fixed.
- Record are physically ordered on the value of one of the fields called the ordering fields.

Name	RollNo	Year
ABC	101	TE
XYZ	102	SE
PQR	103	BE

↑ ordered data.

### Sequential file.

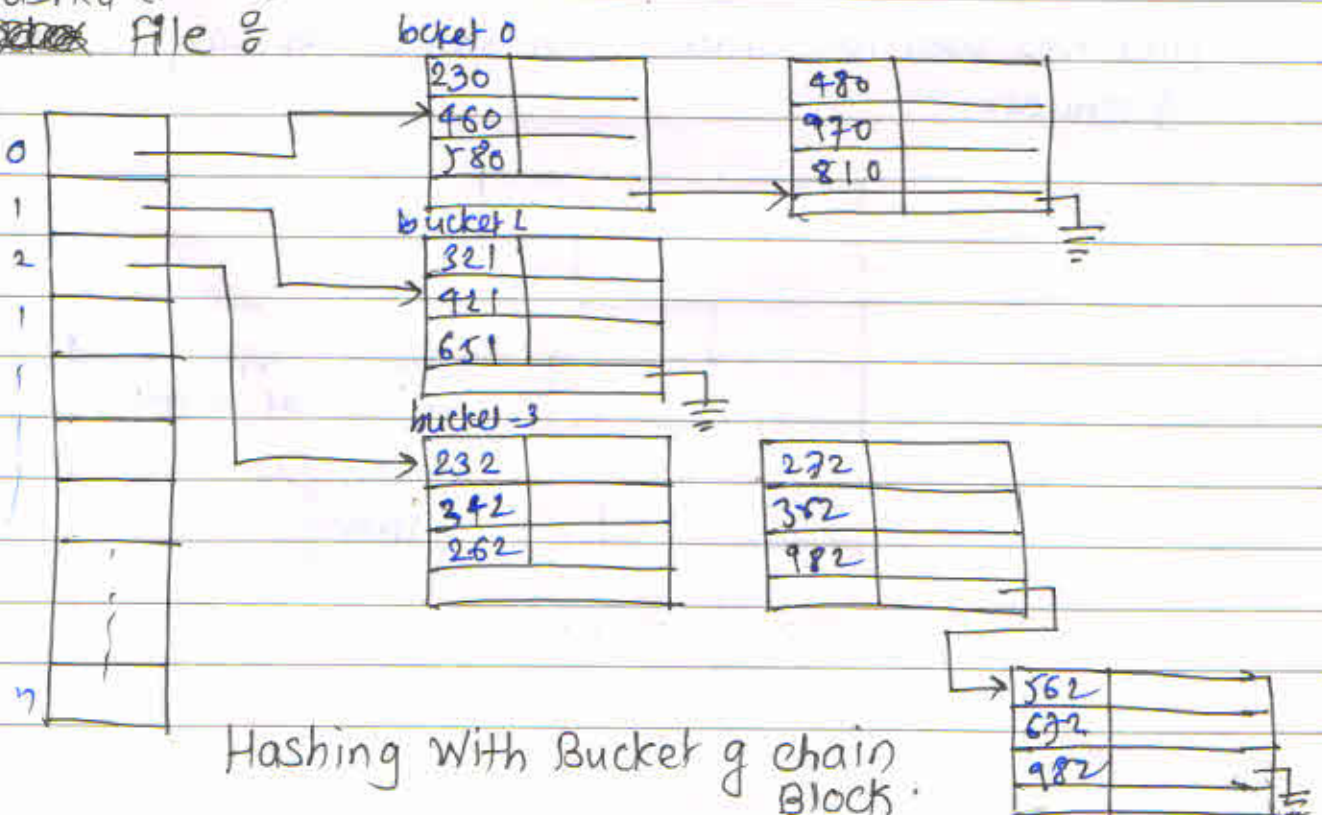
#### \* Advantages:-

- easy to read data in order
- easy to search data bcz next record is found in same block.
- Searching order is fast. Binary search can be used.

#### Disadvantages:-

- non-ordering search is not allowed.
- inserting a record is an expensive task
- deleting a record is too difficult
- modification of field might take more time.

### 3) Hashed (Direct) File



Hashing With Bucket & chain Block.

## Hashed (Direct) file Organization -

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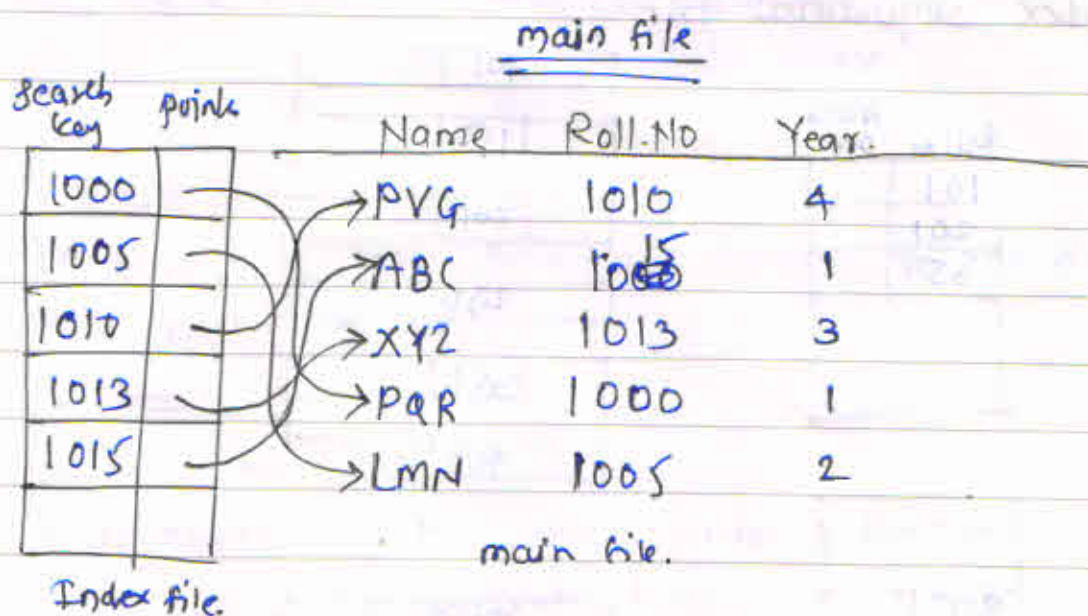
- It is common tech<sup>2</sup> used for fast accessing of records on secondary storage.
- Record of files are divided among bucket. A bucket is either one disk block or cluster of contiguous block.
- A hashing fun<sup>n</sup> map a key into a bucket number. a bucket are numbered from 0, 1, 2, ... b-1.
- A hash fun<sup>n</sup> f maps each key value into one of integer thro. 0 to n-1.
- If x is key, f(x) is nos of bucket that contains the record with key x.
- The block making up each bucket could either be contiguous block or they can be chain together in linked list.

= bucket directory +  $\frac{\text{Nos of Record}}{\text{Nos of bucket} \times \text{nos of record per block}}$

Nos of bucket  $\times$  nos of record per block

Thus, the one<sup>s</sup> is b time faster (b = nos of buckets) than unordered file.

## \* Indexed file :

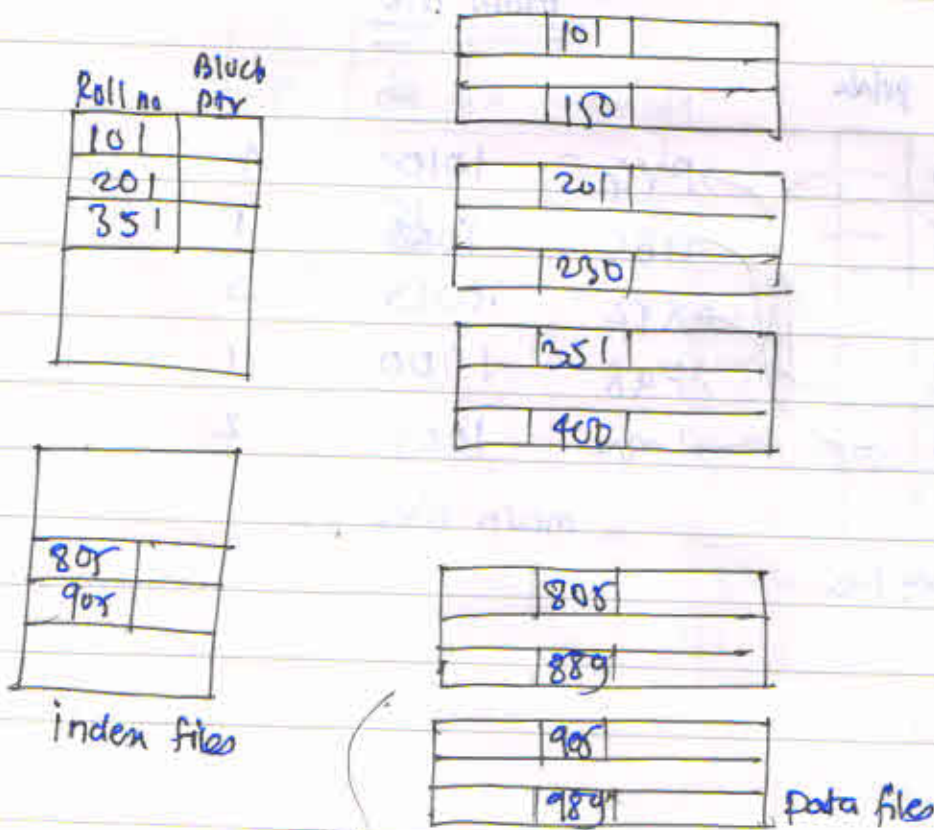


- Indexing is used to speed up retrieval of record. It is done with help of separate sequential file.
- Each record of index file consists of two fields and points into the main file.
- To find specific record for given key value, index is searched for given key value.
- Binary search can be used to search in index file. After getting the address of record from index file, the record in main file can easily be retrieved.
- Index file is ordered on the ordering key i.e. Roll No. Each record of index file points to the corresponding record in main file is not sorted.

Advantages of indexing over sequential file:

- Indexing provides much better flexibility.
- It requires less storage space.
- With indexing, new records can be added at the end of the file. It will not require movement of data (records) as in sequential.

#### 4) Index Sequential file:



## \* Directory structure :-

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- It is data structure for storing detail about files. A directory typically contains a nos of record one per file.
- each record contains
  - 1) file name
  - 2) file attribute.
  - 3) Addr. of disk block where data are stored.

## Types of Directory structure :-

- 1) Flat directory
- 2) Hierarchical directory.

1) Flat directory - all files are contained in root directory & there is no other subdirectory.

## 2) Hierarchical Directory :-

it is organized in tree data structure. This is root directory. It can subdirectories and files.

- In this files can be grouped together in natural way.
- file can be located quickly.

## \* path Name :-

- There are 2 types
- 1) Absolute path name
  - 2) Relative path name.

## 1) Absolute path Name :-

- it consist of path from root directory to the files

e.g. root \ ABC \ pqr \ a.txt ←

- ~~root~~

## 2) Relative path name.

- it is always w.r.t. working (current directory).

Process can change working direct.

1) dot (.) refers current directory

dot dot (..) - refer parent directory.



# \* File System Implementation :- OF.

## File management System :-

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The main fun<sup>n</sup> file sys is to manage space on secondary disk, which includes keeping track of both allocated disk block & free disk block.

The main prob. in allocating files are

- effective utilization of disk space.
- fast accessing of files
- slow disk access time etc.

There are 3 techniques for allocation of disk block :-

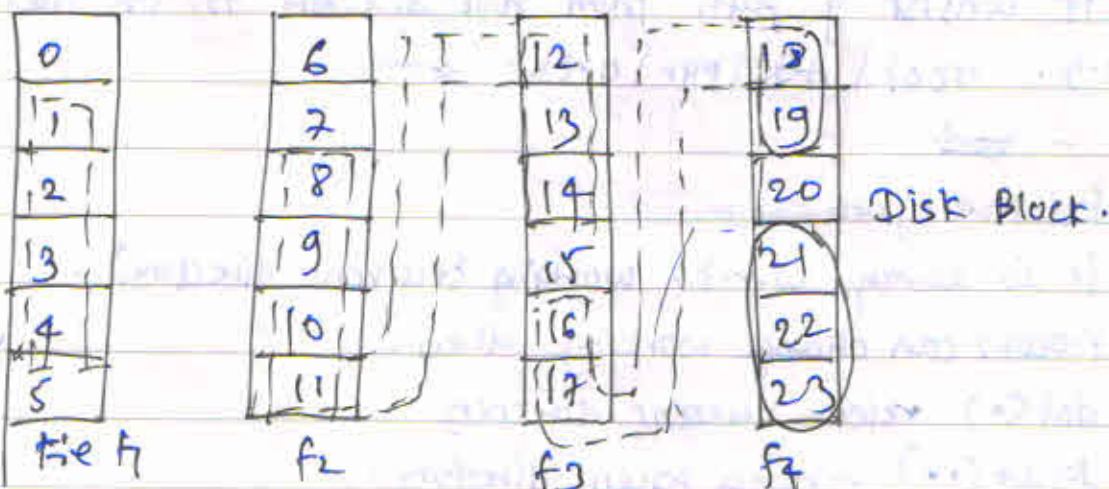
- 1) Contiguous allocation
- 2) Linked allocation
- 3) ~~Dir~~ Indexed allocation

### 1) Contiguous Allocation :-

- In this, files are assigned to contiguous area of secondary storage. as shown in-fig.

Directory

File	Start	Size
f <sub>1</sub>	1	4
f <sub>2</sub>	8	7
f <sub>3</sub>	16	4
f <sub>4</sub>	21	3



Contiguous Allocation of files.

## \* Disk space Management :

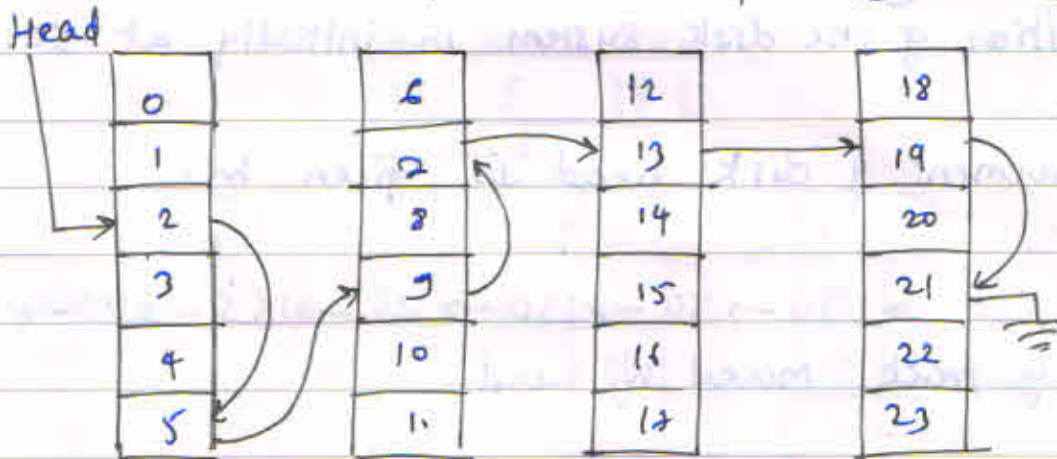
- OS maintain list of free disk blocks.. free disk block are those in a disk which are not being used by any file.
- whenever file is created, the list of free blocks is searched for and allocated to new file.
- The blocks allocated to this file is removed when file is deleted., its disk space is added to the list of free block.

There are 2 methods of disk management :

- 1) Linked list
- 2) Bit map.

### 1) Linked list :

- In this method, all free disk blocks are linked together by each free block pointing to next free block.
- in e.g., block 2 is the 1<sup>st</sup> free block of linked list of free block.
- block 2 contain the addr. of free block 5 and so on.
- last free block 21, that is not pointing to any free block.



Linked list free disk block.

### 2) Bit map

- list of free block is implemented on a bit map or bit vector.
- each block is represented by single bit.
- 0 for free block
- 1 for allocated block.

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e.g. where 2, 5, 7, 9, 13, 19, 21 are free block.

The bit map for free block will be

1101101010111011111010.

## \* Disk Scheduling :

- Seek time

- latency

- data transfer rate.

### ⊕ Disk scheduling Algo. :-

1) FCFS scheduling

2) Shortest seek time first scheduling

3) Scan scheduling

4) Circular Scan (C-scan) scheduling.

### 1) FCFS scheduling +

- In this, request are serviced in the seq. as they arrive.

- it is easy to prog.

- It does not perform optimal performance.

e.g.

100, 190, 50, 150, 25, 155, 70 and 85.

we are assuming a disk with 200 track and the head position of the disk system is initially at 50.

- The movement of disk head is given by.

50 → 100 → 190 → 50 → 150 → 25 → 155 → 70 → 85

The no of track moved by head.

$$= |50-100| + |100-190| + |190-50| + |50-150|$$

$$+ |150-25| + |25-155| + |155-70| + |70-85|$$

$$= 50 + 90 + 140 + 100 + 125 + 130 + 85 + 15$$

$$= 735 \text{ tracks}$$

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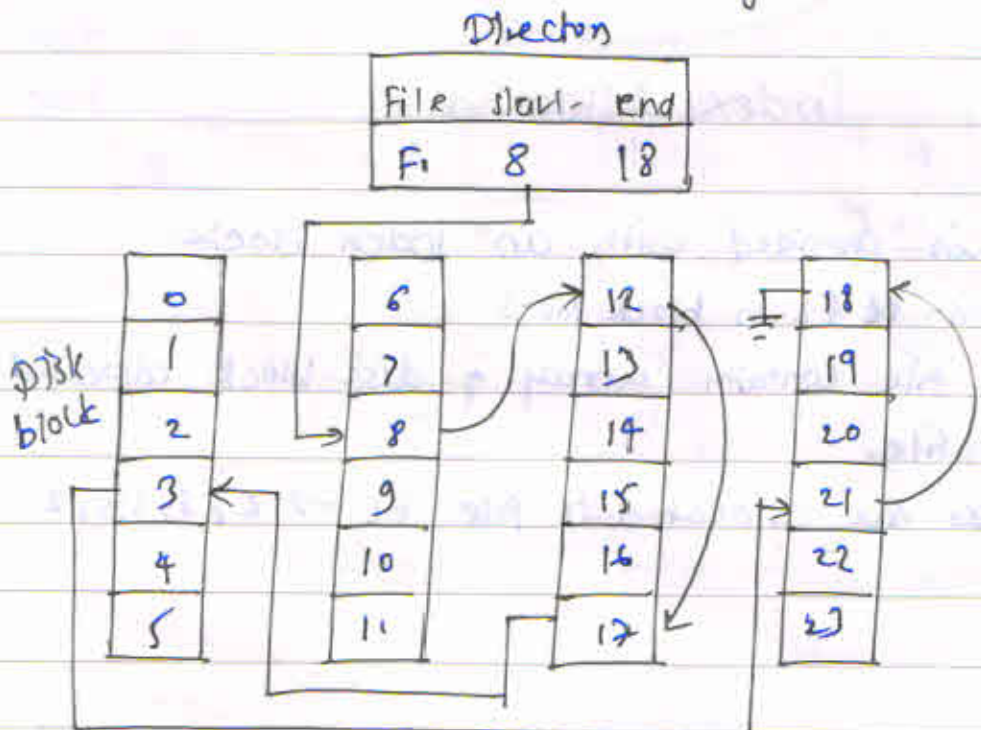
$$\text{Average seek time} = \frac{735}{8} = 91.88 \text{ tracks.}$$

## 1) Contiguous Alloc<sup>n</sup> :-

- In this, user specifies in advanced size of the area needed to hold a file before creation.
- If desire amount of contiguous space is not available then file will not be created.

## 2) Linked Allocation :-

- Files tends to grow and shrink dynamically.  
It is very difficult for user to know in advance the size of the file.
- Linked allo<sup>n</sup> is essentially a disk based version of linked list.
- In this, allo<sup>n</sup> list of file. to a file is linked list of blocks. These block may be scattered thro. disk.
- These block need not be contiguous.
- Each block contain address of next block.

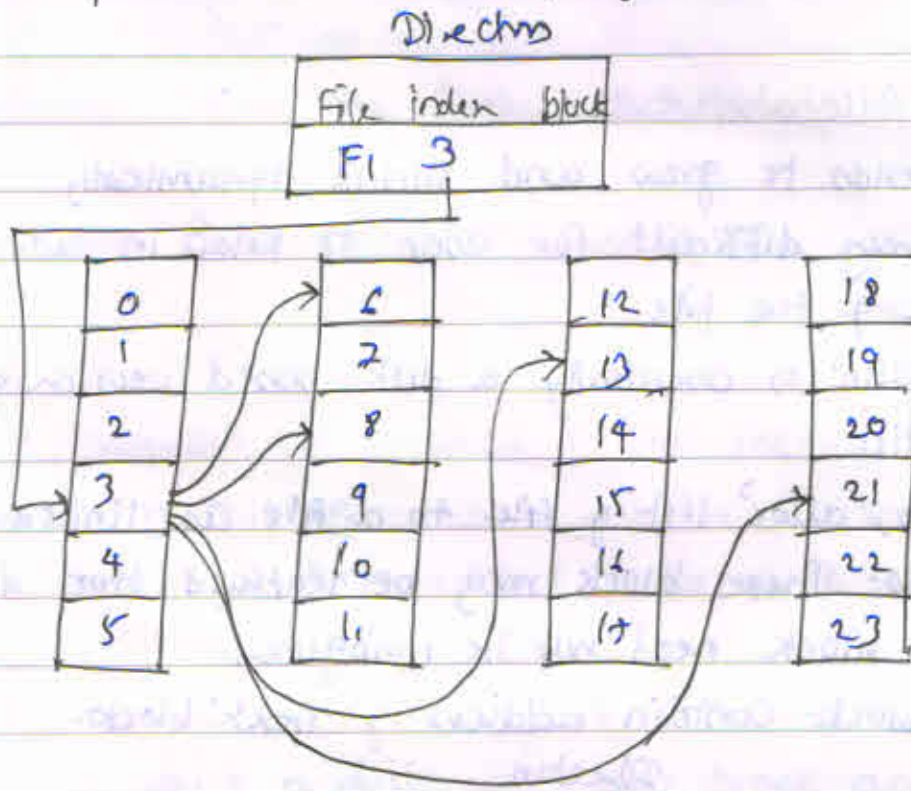


Linked Allocation.

- there is file of 6 blocks which start from block 8. and end with block 18.

### 3) Index Allocation:

- one drawback of linked allo<sup>s</sup> is that it does not support direct accessing as allocated blocks are linked together.
- this problem is overcome by indexed allocation.



### Index Allocation.

- each file is provided with an index block.
- Index for file ~~f1~~ f1 is block No. 3
- An index file contains array of disk block allocated to the said file.
- foll. blocks are allocated to file f1  $\rightarrow 6, 8, 13, 21$

\* Shortest seek time first (SSTF) scheduling:

- It selects the disk I/O request that requires the least movement of disk head from its current position.
- Since seek time is generally proportional to the track difference bet<sup>n</sup> the request, this approach is implemented by moving the head to the closest track in the request queue.
- It cuts total head movement considerably.
- It does not provide fairness (no waiting for long time).

e.g. 100, 190, 50, 150, 25, 155, 70 and 85.

We are given a disk with 200 tracks and head positioning disk system is initially at track 55.

Once we track 55, the next closest track is 50.

From here it goes to 70 and then 85 and so on.

The movement of head is

Next track accessed	No. of tracks traversed
50	$55 - 50 = 5$
70	$70 - 50 = 20$
85	$85 - 70 = 15$
100	$100 - 85 = 15$
150	$150 - 100 = 50$
155	$155 - 150 = 5$
190	$190 - 155 = 35$
25	$190 - 25 = 165$

Total = 310

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$$\text{Average seek length} = \frac{310}{8} = 38.75 \text{ tracks.}$$

### 3) Scan scheduling :-

- it is also called as "elevator" algorithm.
- it is developed for 2 reasons
  - 1) To procure advantages of both FCFS & SSTF
  - 2) To eliminate short coming of both FCFS & SSTF algo.
- In this algo, R/W head of disk start from one end and moves towards the other end, servicing all outstanding request enroute, until it reaches the last track in that direction. or until there are no more request in that direction.
- The R/W head direction is then reversed and the scan proceeds in the opposite direction, again servicing all request in order.
- e.g. 100, 190, 50, 150, 25, 155, 70 and 85.

we assuming disk with 200 tracks. Initial pos<sup>n</sup> of track is 55.

Next track accessed	nos of track traversed
70	$70 - 55 = 15$
85	$85 - 70 = 15$
100	$100 - 85 = 15$
150	$150 - 100 = 50$
155	$155 - 150 = 5$
190	$190 - 155 = 35$
50	$50 - 190 = 140$
25	$25 - 50 = 25$

Total = 300

$$\text{avg seek length} = \frac{300}{8} = 37.5 \text{ tracks.}$$

## \* Circular scan (C-Scan) Algorithm

— In this, scanning & servicing are restricted to one direction only. Thus when the last track is serviced in one direction, the disk head is returned to opposite end of the disk and then scan begins again.

e.g.

100, 190, 50, 150, 25, 155, 70 & 85.

initial = 55

next track accessed	nos of track traversed
70	$70 - 55 = 15$
85	$85 - 70 = 15$
100	$100 - 85 = 15$
150	$150 - 100 = 50$
155	$155 - 150 = 5$
190	$190 - 155 = 35$
25	$190 - 25 = 165$
50	$50 - 25 = 25$

Total = 325

Avg seek length =  $\frac{325}{8} = 40.6$  track

- It does not cause starvation
- it is better for sys. place & heavy load on the disk.
- C-scan perform much better than FCFS - with service guarantee.



e.g.

A disk drive has 640 cylinders numbered 0-639.

The drive is currently serving the request at cylinder 68. The queue of pending requests in FIFO order is:

84, 153, 32, 128, 10, 133, 61, 69.

Starting from current head position, what is the total distance that disk arm moves to satisfy all the ~~current~~ pending requests for all disk scheduling

1) SSTF

2) SCAN

3) C-SCAN.

Ans: 244

228

279

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